

GALE A. BREWER
BOROUGH PRESIDENT

# Manhattan Borough President's Office Equal Employment Opportunity (EEO) Policy and Plan

## I. Equal Employment Opportunity Policy

The City of New York is an equal opportunity employer and prohibits discriminatory employment actions against and treatment of City employees and applicants for employment based on actual or perceived race, color, national origin, alienage or citizenship status, religion or creed, gender (including sexual harassment, pregnancy and "gender identity" -- which refers to a person's actual or perceived sex, and includes self-image, appearance, behavior or expression, whether or not different from that traditionally associated with the legal sex assigned to the person at birth), disability, age (18 and over), military status, prior record of arrest or conviction, marital status, partnership status, caregiver status, genetic information or predisposing genetic characteristic, sexual orientation, status as a victim or witness of domestic violence, sex offenses or stalking, unemployment status, consumer credit history, familial status, salary history, and sexual and reproductive health decisions.

## A. Types of Prohibited Conduct

Decisions and practices based on an individual's protected status (e.g., race, religion, age and the other categories listed above) that unlawfully affect employment or the compensation, terms, conditions or privileges of an individual's employment or potential employment with the MBPO are prohibited by the policy. This includes unlawful decisions, actions and practices that occur in the course of recruitment, testing, hiring, work assignments, salary benefits, working conditions, performances evaluations, promotions, training opportunities, career development and advancement, transfers, discipline, discharge or any other application or selection process relating to employment.

The MBPO's EEO Policy also prohibits sexual harassment—that is conduct or language of a sexual nature—and harassment based on gender or any other protected characteristic (such as race, religion, disability or sexual orientation). Forms of harassment may include, but are not limited to, the use of vulgar language, abusive acts or language, hostility, physical aggression, intimidation, or unequal treatment.

Harassment and/or retaliation against a person who opposes or complains about prohibited conduct or participates in any way in the complaint, investigation or reasonable accommodation processes are strictly prohibited.

This policy also prohibits the denial of reasonable accommodations (for disabilities; religious beliefs, observances and practices; or for victims of domestic violence, sex offenses or stalking) that do not create undue hardship.

Some offensive acts or remarks may violate this policy, even if they are not so severe that they violate federal, state or local discrimination laws. The MBPO may discipline conduct that violates this policy even if the conduct does not violate a law prohibiting discrimination.

This policy also prohibits any MBPO employee from aiding, abetting, inciting, compelling or coercing any person in an MBPO facility, whether or not an MBPO employee, from engaging in any conduct prohibited by this policy, including, but not limited to, conduct that creates a hostile work environment based on any protected characteristic.

## B. Applicability

Everyone who works within the MBPO or its workplaces, or who seeks employment within the MBPO, is covered by federal, state and local employment laws and this policy. This includes all current employees, managers (including executives and senior level staff members), supervisors, co-workers, and job applicants.

This policy not only protects individuals from prohibited conduct because of their own protected status (such as their own actual or perceived race, religion, national origin or disability) but also protects individuals from conduct motivated by the actual or perceived race, religion, national origin or disability, etc., of other persons with whom they are associated. For example, this policy applies to individuals who are subjected to adverse actions because of their marriage to, or domestic partnership or association with, persons of a particular racial, religious or national origin group, or persons who have a disability. Moreover, discrimination based on an individual's name(s) or spouse's or domestic partner's name(s) that is associated with a particular racial, religious or national origin group is prohibited.

These protections apply to actions that violated this policy, whether or not intentionally offensive or directed at a particular person or group.

This policy extends to conduct which occurs at any location that could be reasonably regarded as an extension of the workplace, such as any field location, off-site business-related social function, MBPO vehicle or facility where MBPO government business is being conducted and discussed.

In addition, interns (paid or unpaid) have a right to a workplace that is free of discrimination, including harassment, based on race, color, national origin, religion, gender, disability, or age, and any basis that could otherwise be determined to be prohibited behavior pursuant to the MBPO's Equal Employment Opportunity ("EEO") Policy (such as alienage or citizenship status, sexual orientation, gender identity, or status as a victim of domestic violence) as applied to employees or applicants for employment.

All MBPO employees are expected to be respectful of all of their co-workers and members of the public, and to be sensitive to the effects of their behavior on those around them. All employees must be trained in the requirements of this policy and must receive a copy of the EEO Policy Handbook, "About EEO: What You May Not Know," which is available at

https://www1.nyc.gov/assets/dcas/downloads/pdf/agencies/about\_eeo\_what\_you\_may\_not\_know\_booklet.pdf

#### **II. Specific Protections**

The following sections are provided to enable individuals to understand the unique definitions, issues, rights and responsibilities under this policy pertaining to sexual harassment and discrimination based on disability, religion, retaliation and status as a victim of domestic violence, a sex offense or stalking.

#### A. Sexual harassment:

Sexual harassment is a form of employment discrimination which is prohibited by law. The federal government has created guidelines which define sexual harassment as "unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature...when 1) submission to the conduct is made either explicitly or implicitly a term or condition of an individual's employment; 2) submission to or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; or 3) such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment."

Sexual harassment may involve individuals of the same or different gender(s). A broad range of behavior may be considered sexual harassment, including sexually suggestive remarks, pictures or gestures, verbal abuse or harassment of a sexual nature, subtle or direct propositions for sexual favors, and any unnecessary touching, patting, or pinching.

#### B. Disabilities

Discrimination against a person based on that person's actual or perceived disability, record of disability, or relationship with a person with a disability will not be tolerated by the MBPO. For the purpose of this policy, a disability is: 1) a physical, medical, mental or psychological impairment; 2) a history or record of such impairment; or 3) being regarded as having such impairment.

The MBPO will take appropriate action to provide reasonable accommodations to qualified employees and applicants with disabilities, unless providing such accommodations creates an undue hardship. Reasonable accommodations include the provision of equipment, changes in workplace policies and practices, and other forms of assistance that allow people with disabilities to apply for a position to perform their jobs, or to enjoy equal benefits and privileges of employment as are enjoyed by other similarly situated employees without disabilities.

Whether an accommodation is reasonable will depend upon the circumstances of the particular request. Some examples of accommodations that may be reasonable include: making facilities physically accessible to, and usable by, persons with disabilities; job restructuring; modifying work schedules; providing or modifying equipment or devices; providing qualified readers, interpreters, auxiliary aides and/or other support services; and providing leave and/or arranging for transfer or reassignment to a vacant position, if such transfer or reassignment does not violate the Civil Service Law, Personnel Rules and Regulations or other applicable laws, regulations and/or collective bargaining agreements.

Undue hardship may exist when an accommodation is significantly difficult, unduly costly, extensive, substantial, or disruptive, or would change the nature or operation of any agency's business.

<sup>&</sup>lt;sup>1</sup> Code of Federal Regulations, Title 29, Section 1604.11

The MBPO encourages employment of and promotional opportunities for qualified persons with disabilities. For example, pursuant to Section 55-a of the New York State Civil Service Law, where agency needs and availability permit, the MBPO encourages the use of the 55-a program, which will allow MBPO to employ qualified persons who have been certified as disabled in competitive positions on a non-competitive basis. Individuals who wish to apply for the 55-a program are encouraged to seek assistance from the MBPO personnel officer or 55-a coordinator.

#### C. Religion

The MBPO's EEO Policy prohibits adverse employment actions based on a person's religion. This includes discriminatory practices and decisions, harassment, hostility or other adverse actions because of a person's creed, religious affiliation, or religious beliefs, observances or practices.

In addition, depending on the circumstances, the MBPO must try to reasonably accommodate the religious observances, beliefs or practices of an employee or applicant, unless the accommodation creates an undue hardship. A reasonable accommodation for religion may be a change in a workplace rule or practice that allows an individual to respect his or her religious observances, beliefs or practices. The MBPO may be required to provide accommodations for religion such as: flexible arrival and departure times; leave; voluntary exchanges of shifts or assignments; time and/or place to pray; accommodations relating to appearance and dress; modifying workplace practices, policies and/or procedures.

The MBPO is not required to provide accommodations that are too costly or difficult to provide, that would be disruptive or that would interfere with job performance.

#### D. Retaliation

It is a violation of this policy to retaliate against or harass any person who asserts his or her rights regarding employment discrimination by: 1) opposing discriminatory practices in the workplace; 2) complaining about prohibited conduct; or 3) participating in any way in the complaint, investigation or reasonable accommodation processes. It is also a violation of this policy to retaliate against or harass someone because of his or her association with such an individual.

Behaviors which may be considered retaliatory include, but are not limited to: threats, reprimands, negative evaluations, harassment, refusal to hire, denial of promotion or job benefits, demotion, suspension, discharge, negative references to prospective employers, or other actions affecting the terms, conditions or privileges of employment.

Examples of behavior that is protected against retaliation under this policy include, but are not limited to: expressing an intent to file a charge or complaint alleging prohibited conduct; participating as a witness in an EEO investigation, administrative proceeding, hearing or trial; and/or seeking a reasonable accommodation.

## E. Domestic Violence, Sex Offenses or Stalking:

The MBPO prohibits employment discrimination against persons who are victims of domestic violence, or victims of sex offenses or stalking, as defined by that law and the New York State Penal Law. The

MBPO shall provide reasonable accommodations that do not create undue hardship and that enable such persons to satisfy the essential requisites of a job, provided that the status as a victim of domestic violence, or victim of sex offenses or stalking is known, or should have been known, by the MBPO.

#### III. Procedures

#### A. Reporting Violations:

Anyone who believes that he or she has been subjected to any action, decision or harassment in violation of this policy, or who witnesses others being subjected to improper conduct, is urged to promptly report the incident(s) to his or her supervisor or manager, to MBPO personnel supervising the application, testing and interviewing process, or directly to an EEO Officer. Supervisors, managers, or human resources personnel who receive EEO complaints, or who otherwise become aware of any improper discrimination, must notify an EEO officer at the MBPO. Supervisors and managers should also encourage individuals who believe that the MBPO's EEO Policy has been violated to consult with an EEO officer.

An individual who believes that this policy has been violated may report the incident orally or in writing. Where the complaint is taken orally, the manager, supervisor, or EEO representative shall document the complaint. The EEO office will assist any individual to determine whether the conduct or decision is appropriate for the complaint process. Where an individual chooses to file an internal complaint with the MBPO EEO office, that complaint must be filed within one year of the event which is the subject of the complaint. An individual wishing to file an internal complaint may do so by completing the MBPO EEO Discrimination Complaint Form and, if applicable, the Witness Form, both of which are attached to this policy.

Persons who wish to discuss a possible violation of this policy without revealing their identity may do so by telephoning or writing the EEO office. In such cases, an EEO officer or representative will provide counseling and take such follow-up action as may be appropriate and possible, given the restraints of anonymity.

If any employee knowingly makes a false accusation of discrimination or knowingly provides false information in the course of an investigation of a complaint, such conduct may be grounds for discipline. A complaint made in good faith, even if found to be unsubstantiated, will not be considered a false accusation.

#### B. Contact with the EEO Office:

An employee has a right to meet privately with an EEO representative. Such a meeting may take place either during or outside of office hours. If an employee makes a request to meet with an EEO representative during office hours, the employee should obtain approval from a manager or supervisor in order to leave his or her work assignment. An employee need not disclose the purpose for or details of the meeting with an EEO officer. Reasonable leave requests to meet with an EEO officer during work hours cannot be denied by managers or supervisors. Managers and supervisors shall allow employees to meet with EEO officers at the earliest practicable time consistent with the operational needs of their units. Where the MBPO has more than one EEO officer, an employee is not required to meet with an EEO representative who works in the employee's unit.

At the employee's request, arrangements may also be made to hold the meeting before or after office hours, or during the employee's lunch period. Should such a meeting take place entirely on the employee's own time, he or she need not advise a manager or supervisor of the meeting, or obtain the consent or approval of a manager or supervisor. The EEO officer will arrange to meet with an employee at outside premises where appropriate and/or necessary in order to ensure confidentiality. If necessary, EEO officers will make arrangements for sign language interpreters and other forms of effective communication with persons with disabilities to facilitate access to EEO services.

The EEO officer will discuss and research appropriate options, including actions an individual could take on his or her own behalf, referrals to other offices and/or agencies, mediation, investigation and/or interim relief. The EEO officer may also facilitate any further discussions with other agency personnel.

In appropriate cases, an EEO investigation may be conducted in conjunction with or by the MBPO's General Counsel's office or disciplinary officer. In addition, there may be exceptional circumstances under which an investigation may be conducted by another individual or entity, as deemed appropriate by the MBPO's General Counsel.

Any person who is interviewed during the course of an EEO investigation has a right to be accompanied by a representative of his or her choice. This includes individuals who make complaints, persons against whom complaints are made or witnesses. It is preferable that the EEO officer have advance notice that the person who is being interviewed will be bringing a representative.

Any person who is the subject of the complaint will have an opportunity to respond in writing.

All employees are expected to cooperate with EEO investigations. Failure to cooperate in an investigation may result in disciplinary action.

#### C. Withdrawing Complaints

A complaint of discrimination may be withdrawn at any time by the person who filed the complaint. In some instances, the MBPO EEO Officer will find it appropriate to end the investigation when the complaint is withdrawn. Prior to ending the investigation, the EEO Officer must determine whether the agency should take corrective action to address inappropriate conduct. If the EEO Officer determines that corrective action is required, it may be necessary for the EEO Officer to continue the investigation or recommend action to remedy inappropriate behavior.

#### D. Mediation

Mediation is a voluntary, informal and confidential process that provides an opportunity for everyone involved in a complaint to come to a mutual agreement about how the complaint should be resolved. It is an alternative that may quickly resolve complaints without a full investigation.

All requests for mediation should be made to the EEO office. Mediation may be requested by any party involved and may be declined by any party.

The EEO Officer will determine whether the complaint is appropriate for mediation. The EEO Officer may choose to conduct the mediation internally within the agency, or through the Center for Mediation Services (the "Center") at the City's Office of Administrative Trials and Hearings. If the Center is

chosen, the EEO Officer will advise the Center of the names of the parties, and their contact information. The Center will then arrange a date and time for the mediation with the parties. If the parties agree to a resolution of the situation during mediation at the Center, and any action needed to resolve the matter has received all necessary approvals, the Center will forward a copy of the resolution agreement to the EEO Officer.

Mediation may be terminated by any party to the mediation. If this occurs, the EEO Officer or the Center will inform the other party or parties in writing that the mediation has been terminated. In the event that mediation does not result in a resolution, the EEO Officer or the Center will provide the parties with a written statement informing the parties of the complainant's right to an investigation of the allegation. Where efforts to mediate complaints are unsuccessful, complaints will be investigated by the EEO office. Additional information about the Center is available online at <a href="https://www1.nyc.gov/site/oath/conflict-resolution/what-is-mediation.page">https://www1.nyc.gov/site/oath/conflict-resolution/what-is-mediation.page</a>.

#### E. Concluding the Complaint Investigation:

The EEO Officer will advise all parties in writing of the outcome of a complaint. If the EEO Officer concludes that a violation of this policy has occurred, the EEO Officer will recommend appropriate corrective action. The agency head will review the EEO Officer's report and promptly issue a determination adopting, rejecting or modifying the recommended action. Such determination shall be in writing and may be issued electronically.

Any person found to have engaged in conduct or practices in violation of this policy may be subject to discipline which may include a reprimand, suspension, probation, demotion, transfer, termination, or any other measures permitted by law and/or collective bargaining agreements. In addition to implementing such disciplinary action, the MBPO may take such steps as may be necessary to address the impact that any violation of this policy has had on the complainant or within the agency.

#### F. Other Places Where Complaints May Be Filed

The following federal, state and local agencies enforce laws against discrimination: The New York City Commission on Human Rights, the New York State Division of Human Rights, the United States Equal Employment Opportunity Commission (the "EEOC") or the Department of Justice. Information about how to contact these agencies can be found in the EEO Policy Handbook "About EEO: What You May Not Know" on the DCAS website at

https://www1.nyc.gov/assets/dcas/downloads/pdf/agencies/about\_eeo\_what\_you\_may\_not\_know\_bookl\_et.pdf, or the MBPO EEO office or the Office of Citywide EEO located at 1 Centre Street, 17<sup>th</sup> Floor, New York, NY 10007, (212) 386-0257. Please note that there are statutory deadlines for filing complaints with each of these agencies.

Where a person exercises his or her right to file a complaint with a federal, state or local administrative agency (known as an "external compliant") based on or related to the same facts and circumstances of an internal complaint, the MBPO EEO Officer will transfer the matter to the MBPO General Counsel, who will be responsible for any further handling of the matter. The EEO Office will notify the complainant and the parties who are the subject of the complaint, in writing, that the investigation by the EEO Officer has been transferred because of the filing of the external complaint. The MBPO General Counsel will be responsible for handling external complaints regardless of the timing of such complaints (whether filed before the internal complaint is filed, at the same time that the internal claim was filed, or

after the internal complaint was filed but prior to the completion of the agency's internal EEO procedures). The transfer of the complaint to the MBPO General Counsel's Office should in no way preclude the EEO Officer from cooperating with the General Counsel's Office with respect to the ultimate resolution of the complaint.

## G. Requests for Reasonable Accommodations

The MBPO may be required to provide reasonable accommodations when requests are made in connection with disabilities, religion and/or to accommodate individuals who are victims of domestic violence, sex offenses or stalking. The reasonable accommodation process should be flexible and interactive, involving MBPO representatives who are necessary to the reasonable accommodations process and the individual who is requesting a reasonable accommodation. In all instances, the MBPO EEO Officer should be notified of the request in order to facilitate discussions, research appropriate accommodations and assist in the resolution of the matter.

EEO representatives, agency personnel supervising any phase of the application process, and/or managers and supervisors involved in the process shall notify individuals who request reasonable accommodations whether the request has been granted. Where the specific accommodation requested is impractical, MBPO representatives will seek to implement an appropriate alternative reasonable accommodation. The following procedures detail the specific aspects of each type of request.

(1) Disabilities: An employee or applicant requesting reasonable accommodations for disabilities may make such requests to his or her manager or supervisor, agency personnel supervising the application, process, or directly to the agency EEO office or Disabilities Rights Coordinator. A request for a reasonable accommodation may be made orally or in writing. Where the request is made orally, it shall be documented by that person who receives the request.

EEO representatives and/or Disabilities Rights Coordinators, agency personnel supervising the application process, and managers and supervisors involved in the process shall provide reasonable assistance (such as help in completing forms) to an individual requesting an accommodation. Additionally, if a reasonable accommodation is requested to facilitate an individual's ability to apply for employment, the MBPO staff supervising the application procedures may be required to assist the applicant in completing the application process.

By law, all documentation and information concerning the medical condition or history of an individual requesting a reasonable accommodation for a disability must be collected and maintained on separate forms, and in separate medical files, apart from other personnel data. Such information must be treated as confidential medical records, except that managers and supervisors may be informed of necessary restrictions on work and accommodations required. Furthermore, medical information may be provided: 1) to first aid safety personnel, if the disability might require emergency treatment; 2) to government officials investigating the agency's compliance with applicable laws; 3) to workers' compensation offices in accordance with Workers' Compensation Law; and 4) for insurance purposes.

(2) Religious Accommodations: An employee or applicant requesting reasonable accommodations for religion may make such requests to his or her manager or supervisor, agency personnel supervising the application process, or directly to the MBPO EEO office. Requests for religious accommodations should be documented by the individual receiving the request.

(3) Victims of Domestic Violence, Sex Offenses or Stalking: An employee or applicant requesting reasonable accommodations for domestic violence, sex offenses or stalking may make such requests to his or her manager or supervisor, agency personnel supervising the application process, or directly to the MBPO EEO office.

The MBPO may require a person requesting a reasonable accommodation to provide certification that the person is a victim of domestic violence, sex offenses, or stalking. The person requesting the reasonable accommodation shall provide a copy of such certification to the MBPO within a reasonable period after the request is made. A person may satisfy the certification requirement by providing documentation from an employee, agent, or volunteer of a victim services organization, an attorney, a member of the clergy, or a medical or other professional service provider from whom the individual seeking a reasonable accommodation, or that individual's family or household member, has sought assistance in addressing domestic violence, sex offenses, or stalking and the effects of the violence or stalking; a police or court record; or other information consistent with the disclosure and the request for accommodation.

(4) Pregnancy: An employee or applicant for employment requesting reasonable accommodations due to pregnancy and those who suffer medical conditions related to pregnancy and childbirth or a related medical condition may make such requests to her manager or supervisor, agency personnel supervising the application process, or directly to the MBPO EEO office. Such a reasonable accommodation may include bathroom breaks, leave for a period of disability arising from childbirth, breaks to facilitate increased water intake, periodic rest for those who stand for long periods of time, and assistance with manual labor among other things.

All information, including a statement of the person requesting a reasonable accommodation or any other documentation, record, and the fact that the individual has requested or obtained a reasonable accommodation, shall be retained in the strictest confidence by the MBPO, except to the extent that disclosure is requested or consented to in writing by the person requesting the reasonable accommodation, or is otherwise required by applicable federal, state or local law.

Where an employee or applicant has requested a reasonable accommodation consistent with these procedures and the MBPO representative has not provided the reasonable accommodation, an appeal may be made to the Chief of Staff. Within 10 business days of receipt of an appeal, the Chief of Staff, or his or her designee, shall:

- 1. obtain the request for reasonable accommodation made by the employee or applicant and review all related documentation, standards, procedures and potential accommodations;
- 2. meet and/or consult with the employee or applicant, the EEO officer, and any MBPO representative that the Chief of Staff deems necessary to the reasonable accommodation request;
- 3. evaluate the reasonableness of employee or applicant and supervisor preferences regarding the accommodation request, giving primary consideration to the employee's or applicant's preferences; and
- 4. consult with the Office of Citywide EEO or the Law Department.

Within 15 business days of receipt of the appeal, the Chief of Staff shall issue a written determination on the request for reasonable accommodation, specifying what accommodation shall be provided, if any, and, where necessary, directing the appropriate MBPO representative to implement such

accommodation promptly. The EEO Officer shall monitor implementation of the reasonable accommodation.

## H. Confidentiality

All complaints, investigations, requests for accommodations and records will be handled, to the extent possible, in a manner that will protect the privacy interests of those involved. EEO matters may be discussed with other persons who may have information about a complaint or who are necessary to implement reasonable accommodations for disability, religion or based on status as a victim of domestic violence, a sex offense or stalking. Therefore, it may be necessary to disclose information to persons with a legitimate need to know about the matter.

#### I. Documentation

All inquiries, complaints, requests, mediation efforts, investigations, requests for accommodation and their outcomes will be documented by the EEO office.

## **IV. MBPO Procedures**

- A. The Borough President or, at his or her direction, the MBPO EEO Officer and/or personnel officer, should review MBPO statistical information (including total employment and new hires and promotions, by race/ethnicity and gender), EEO complaints made during the previous fiscal year and the MBPO's employment practices, policies and programs.
- B. The MBPO will ensure fair employment practices pursuant to the requirements of the City Charter, and hold EEO representatives, human resources professionals, managers and supervisors accountable for ensuring that the MBPO does not discriminate against employees or applicants for employment.
- C. The MBPO will ensure fair recruitment efforts, identify relevant professional and community organizations serving women and minorities throughout the City, and review and update listings of recruitment outreach sources.
- D. When the MBPO advertises vacancies in discretionary positions, the MBPO will include a statement that the MBPO and the City of New York is an equal opportunity employer, and when pictures are used in recruitment advertisements, diversity will be displayed.
- E. The MBPO will ensure that all new employees are advised of the MBPO's EEO policies, their rights and responsibilities under such policies, and the discrimination complaint procedure.
- F. The MBPO will ensure that all individuals who work within the MBPO, including managers and supervisors, are trained concerning EEO-related rights and responsibilities.
- G. The MBPO will retain information about personnel actions, discretionary hiring, applicants, promotions, demotions, transfers, rates of pay, terms of compensation and selection for training.

# V. Accountability Standards

#### A. Borough President

The Borough President will ensure that the MBPO does not discriminate against employees or applicants for employment as prohibited by federal, state and local laws. The Borough President will also ensure that legal, human resource and EEO representatives, managers and supervisors: 1) receive a copy of this policy (and any addenda); 2) are trained in EEO laws and procedures and 3) know how to carry out their responsibilities under this policy. The Borough President must distribute a copy of the EEO Policy Handbook, "About EEO: What You May Not Know" to all employees.

In order to meet the MBPO's obligations under the City Charter and other federal, state and local laws, and to achieve the goals of this agency plan, the Borough President must appoint a trained EEO Officer whose responsibility it will be to implement the MBPO's EEO Policy within the MBPO. The EEO Officer must report directly to the Chief of Staff. In order to avoid potential conflicts of interest, under no circumstances should the EEO Officer report to the General Counsel. Where the MBPO's organizational structure necessitates multiple EEO representatives, such individuals should be selected from different office locations and, where possible, from a variety of levels within the organizational structure. The Chief of Staff must ensure that the responsibilities of the EEO Officer are competently discharged.

The Borough President should appoint at least two EEO Officers, who may not be of the same gender, to receive discrimination complaints and conduct investigations. The Borough President should designate a Disabilities Rights Coordinator, whose responsibility it will be to ensure compliance with all federal, state, and local laws, as well as City and MBPO policies, pertaining to persons with disabilities. Usually the EEO Officer of the MBPO should serve as the Disabilities Rights Coordinator; however, when circumstances warrant, the Borough President may designate a person other than the EEO Officer of the MBPO.

The Chief of Staff is required to approve all MBPO EEO plans and final determinations concerning EEO complaint resolutions and should conduct a regular review (no less frequently than annually) of EEO complaints and requests for accommodations. The Chief of Staff will ensure that all employees are provided with information regarding employee rights and obligations regarding this policy, and with information about the complaint and reasonable accommodation procedures. The Chief of Staff will also ensure that the MBPO's EEO policies and complaint procedures are posted at each site where the MBPO conducts business. Such posting may include postings on electronic bulletin boards and intranet sites. The Chief of Staff will ensure that each of the MBPO's employees is accountable for his or her compliance with EEO-related policies, and receives training in EEO laws.

#### B. EEO Officers

The MBPO's EEO Officer has primary responsibility for assisting the Borough President and Chief of Staff in implementing the MBPO's EEO policies and standards. Specifically, the MBPO's EEO Officer must be knowledgeable regarding EEO laws, the requirements of the City's EEO policies, standards and procedures, and how to prevent, investigate, and resolve discrimination complaints. The EEO Officer and/or Disabilities Rights Coordinator will also receive requests for accommodations, and recommend appropriate action to the Borough President regarding EEO-related issues. The EEO Officer should work closely and cooperatively with the MBPO's General Counsel and the DCAS Office of Citywide EEO, and provide guidance and assistance to MBPO managers, supervisors and human resource professionals in addressing issues relating to equal employment opportunity.

The EEO Officer will also: 1) ensure that all MBPO employees receive EEO training; 2) supervise the EEO-related activities of EEO counselors and/or investigators, if applicable; and 3) ensure that EEO policies and complaint procedures are posted at each site where the MBPO conducts business. The MBPO's EEO Officer shall make a copy of these standards and procedures, and any subsequent revisions and supplemental materials, available upon request by an employee or applicant.

#### C. General Counsel

The MBPO General Counsel should 1) assist the Borough President and Chief of Staff in identifying and determining appropriate responses to EEO issues; 2) work cooperatively and closely with the EEO Officer in the implementation of the MBPO's EEO policies and related procedures; 3) inform the EEO Officer when external complaints or litigation involving EEO matters are brought against the MBPO; 4) be available to consult on internal EEO investigations; and 5) be responsible for the investigation of, and response to, external complaints.

#### D. Managers and Supervisors

Managers and supervisors will make every effort to maintain a work environment that fosters sensitivity and respect for the diversity of all individuals. Specifically, each manager or supervisor shall: 1) be accountable to the Borough President and Chief of Staff for effectively implementing EEO-related policies; 2) perform managerial or supervisory responsibilities in a non-discriminatory manner; 3) receive training in EEO laws; 4) cooperate with the EEO Officer in the implementation of EEO policies and standards (including training, complaint resolutions, processing, recording and reporting reasonable accommodation requests, EEO-related recruitment and selection standards and modifying MBPO procedures to ensure equal employment opportunity for applicants and employees); 5) promptly consults with the MBPO's EEO Officer if he or she observes, learns about, or suspects that a violation of this policy has occurred; 6) where appropriate, encourage subordinates to consult with the EEO office; 7) allow employees to meet with EEO representatives at the earliest practical time consistent with the operational needs of his or her unit; and 8) maintain confidentiality with respect to EEO-related matters.

#### E. Personnel Officers

Personnel Officers have primary responsibility for assisting the Borough President and Chief of Staff in implementing the MBPO's personnel policies and shall be knowledgeable regarding the interplay of EEO-related laws and other work-related statutory schemes including the Family Medical Lave Act, the Civil Service Law, and the Workers' Compensation Law. Personnel Officers should cooperate with the EEO Officers in the implementation of EEO policies and standards, including training objectives, complaint resolutions, and modifying agency procedures to ensure equal employment opportunity for applicants and employees.

Personnel Officers will also: 1) ensure that all employees have access to information regarding job responsibilities, performance evaluation standards, examinations, training opportunities and job postings; 2) ensure that all new employees are advised of the City's EEO policies, the employees' rights and responsibilities under such policies, and the discrimination complaint procedures; 3) inform the EEO Officer on an annual basis of the number of 55-a program participants and efforts that the MBPO has made to employ promote or accommodate qualified individuals with disabilities; 4) involve the MBPO EEO Officer in the review of EEO-related decisions, actions and practices; and 5) promptly

consult with the MBPO's EEO Officer if he or she knows, has been informed of, or suspects that a violation of the MBPO's policy has occurred.

## EEO CONTACTS:

EEO Officer: Conor Allerton 212-669-2206 <u>callerton@manhattanbp.nyc.gov</u>

EEO Officer: Luisa Lopez 212-669-3634 <u>llopez@manhattanbp.nyc.gov</u>

Personnel Officer: Deirdre Lyles 212-669-2375 <u>dlyles@manhattanbp.nyc.gov</u>

55-a Coordinator: Deirdre Lyles 212-669-2375 <u>dlyles@manhattanbp.nyc.gov</u>

## **EEO Complaint Form**

What is the alleged basis of discrimination? (Please check all that apply)  $\square$  Age ☐ Alienage/Citizenship ☐ Caregiver Status  $\square$  Color ☐ Consumer Credit History ☐ Creed/Religion ☐ Disability ☐ Familial Status ☐ Gender/Sex ☐ Marital Status ☐ Military Status ☐ National Origin ☐ Partnership Status ☐ Predisposing Genetic Characteristics/Genetic Information ☐ Prior Record of Arrest or Conviction ☐ Race/Ethnicity ☐ Salary History ☐ Sexual Orientation ☐ Sexual and Reproductive Health Decisions ☐ Unemployment Status ☐ Victim of Domestic Violence ☐ Victim of Sex Offenses or Stalking ☐ Retaliation for filing a complaint or assisting in the investigation of an EEO complaint Were there witnesses to the discrimination?  $\square$  Yes  $\square$  No Did you report this incident to anyone?  $\square$  Yes  $\square$  No Have you filed a complaint about the alleged discrimination with any of the following agencies? ☐ New York City Commission on Human Rights ☐ New York State Division of Human Rights ☐ United States Equal Employment Opportunity Commission ☐ United States Department of Labor ☐ United States Department of Justice (If so, please state the complaint number and date filed) Complaint Number \_\_\_\_\_ Date Filed \_

Please give the name(s), title(s) and division of the person(s) you believe discriminated against you.
When did the alleged discrimination occur? Date
Please describe what happened to you on the following pages, what you believe is unlawful discrimination, and/or how other persons were treated differently using all of the questions below. This statement may be amended to correct omissions. Please answer all of the questions and use extra pages if necessary.
What is the basis of your complaint?
What is the specific behavior that you experienced?
When did the incident(s) take place?
Was the behavior repeated or has it happened before?

How long has this been going on?
Where did the incident take place?
How, if at all, did you react?
Was your ability to work affected in any way?
How were you affected otherwise?
Do you know of anyone who witnessed this or similar incidents? Identify all employees or individuals who witnessed discrimination.

Do you know of other employees or individuals who have been subjected to discrimination, have received the same treatment, or have the same concerns? Identify all employees or individuals that you know of who have been subjected to discrimination, have received the same treatment, or have the same concerns.
Do you have any evidence or documentation regarding this matter? (Photos, notes, letters, personal emails, texts, social media messages, voice mail messages, any objects of any kind, etc.)
What resolution are you seeking? In other words, what is the outcome that you expect to result from filing this complaint? What corrective action do you want taken?
Are you interested in discussing conciliation (i.e. work on an informal resolution of this matter) at this time? Please indicate yes or no.
Have you previously complained about this or any related acts to any other agency employee, supervisor, or other city, state, or federal official? If so, please identify the person(s) to whom you complained and the dates complaints were made.

Should we have to contact you during the investigation, please indicontacted, and list the corresponding information.	cate how you would like to be
☐ Home phone	
☐ Work phone	
☐ Cell phone	
☐ Office email	
☐ Personal email	
☐ Mail to office	
☐ Mail to home	
I certify that I have read the above charge and any attached pages, a best of my knowledge, information, and belief.	and that this information is true to the
Complainant's Signature	Date
Note: Discrimination complaints filed with the Manhattan Borough made within one year of the incident to which this complaint pertain	* * * * * * * * * * * * * * * * * * * *

# Witness Information Form

Complainant's Name
Complaint Number (if applicable)
Please provide the name, address, and telephone number of any witness to the alleged unlawful liscrimination. Please provide their agency, division, and title, if known.
Please complete a separate form for each witness.
Name
Agency
Division
Citle
Address
Selephone